ApplicationNOTE



<u>Testing Electrochemical Capacitors</u> Part 2 – Cyclic Charge Discharge and Stacks

Purpose of This Note

This application note is the second part of notes describing electrochemical techniques for energy storage devices. It explains Gamry's PWR800 measurement software and describes techniques to investigate electrochemical capacitors. This application note can also be extended to battery testing.

Introduction

An introduction to electrochemical capacitors can be found in part 1. It discusses techniques familiar to chemists who have worked outside of energy storage applications. Part 3 describes theory and practice of EIS measurements on capacitors.

All parts can be found in the Application Notes section on Gamry's homepage: <u>www.gamry.com</u>.

Experimental

The data shown in this note were recorded on a Gamry Instruments PWR800 system. Tests were run with commercial 3 farad (P/N ESHSR-0003C0-002R7) and 5 farad (P/N ESHSR-0005C0-002R7) electric double layer capacitors (EDLCs) from Nesscap. EDLCs exhibit much lower charge and discharge times than batteries, reducing the time for measurements dramatically.

Basics of Cyclic Charge Discharge

Cyclic Charge Discharge (CCD) is the standard technique used to test the performance and cycle-life of EDLCs and batteries. A repetitive loop of charging and discharging is called a cycle.

Most often, charge and discharge are conducted at constant current until a set voltage is reached. The charge (capacity) of each cycle is measured and the capacitance *C*, in farad (F), is calculated (Equation 1). Both are plotted as a function of cycle number. This curve is called the capacity curve.

In practice, charge is commonly called capacity. Usually, capacity has the unit of ampere-hour (Ah) where 1 Ah = 3600 coulombs.

If capacity falls by a set value – 10 % or 20 % are customary – the actual number of cycles indicates the cycle-life of the capacitor. In general, commercial capacitors can be cycled for hundreds of thousands of cycles.

Figure 1 shows CCD data recorded on a new 3 F EDLC. Five cycles are shown with current and voltage plotted versus time with each cycle in a different color.

The lighter colored waveform is the current applied to the capacitor. The darker colored waveform shows the measured voltage. The capacitor was cycled between 0 V and 2.7 V with a current of ± 0.225 A.

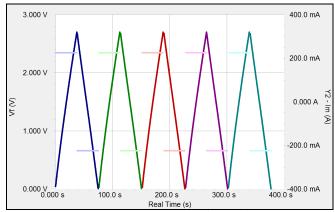


Figure 1 – CCD test on a new 3 F EDLC. Voltage and current versus time are shown for five cycles. For details, see text.

This new EDLC shows almost ideal behavior – the slope of the curve (dU/dt) is constant and is defined by Equation 2.

$$C = \frac{Q}{U}$$
 Eq 1

leads to

$$\frac{dU}{dt} = \frac{I}{C}$$
 Eq 2

U is the cell potential in volts (V), I is the cell current in amperes (A), and Q is the charge in coulombs (C) or ampere-seconds (As).

Figure 2 shows the same CCD procedure but on a 3 F capacitor damaged by excessive voltage. This capacitor's behavior is obviously far from ideal.

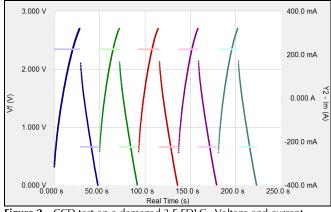


Figure 2 – CCD test on a damaged 3 F EDLC. Voltage and current versus time are shown for five cycles. For details, see text.

Increased self-discharge causes an exponential shape of charge and discharge voltage versus time. A higher equivalent series resistance (ESR) also leads to a large voltage drop (IR-drop) at each half-cycle which dramatically reduces power and capacity. The damage has greatly decreased the efficiency of this EDLC.

Gamry's PWR800 CCD

Figures 1 and 2 showed individual charge and discharge curves. More commonly, CCD data are plotted as a capacity curve – capacity versus cycle number.

Gamry's CCD data file contains additional information used to plot Δ capacity, energy, energy efficiency, Coulombic efficiency, and capacitance versus cycle number.

Figure 3 shows the typical setup screens for a PWR800 CCD experiment, presented to the user in three pages. A simple CCD test consists of a repetitive loop through several steps:

- 1. Constant current charge step
- 2. Potentiostatic hold step (optional)
- 3. Rest at Open Circuit Potential (OCP) (optional)
- 4. Constant current discharge step
- 5. Rest at Open Circuit Potential (OCP) (optional)

On page 1 of the setup the user defines limiting parameters for the CCD test. It can be started with a charge or discharge step. The length of a CCD test is defined by the cycle number and the *Loop End* criteria.

After finishing of cycling or reaching an end criterion the measurement is stopped. The experiment can be cancelled at any time by pressing **F1-Abort**.

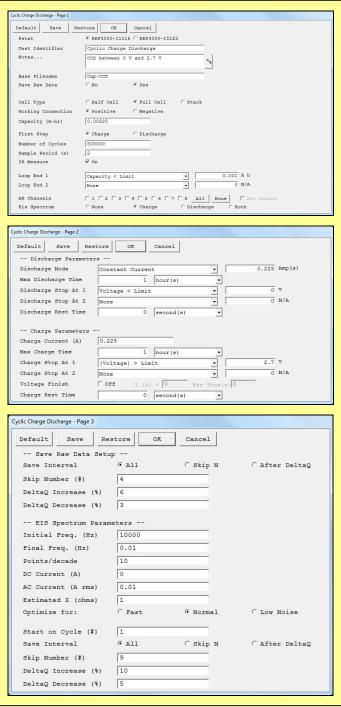


Figure 3 – PWR800 setup for a CCD experiment.

EIS measurements can be executed after each cycle or half-cycle.

Reference 3000 users with an *Auxiliary Electrometer* can measure the voltage of up to eight cells in a serially connected stack. Individual stop criteria can be set for each channel.

Page 2 of the CCD setup (Figure 3) specifies the parameters for each charge and discharge step. The user selects the currents, voltage limits, and maximum time.

The discharge process can be done in three different modes – *Constant Current, Constant Power,* or *Constant Load*.

The loop continues with the next step when a charge or discharge step reaches a stop criterion.

If **Voltage Finish** is enabled, the charge step will proceed to a potentiostatic step. The Voltage Finish step ends after reaching a user specified time or when the current falls below a limiting value.

The cell is turned off during an optional *Rest Time* period. After this period the cell is turned on again to proceed with the next step.

Page 3 of the CCD setup in Figure 3 defines the save interval for the raw data (charge and discharge curves). This page also sets up EIS parameters for optional EIS experiments.

After each loop the parameters for the capacity curve are calculated. Values are calculated for both charge and discharge steps. The cell is turned off when the experiment is finished.

CCD on Single 3 F EDLCs

Different voltage limits

Cycle-life depends on a number of variables:

- Limiting voltage,
- Current used for charge and discharge,
- Temperature

To demonstrate the first point, four 3 F EDLCs were cycled to different voltage limits – most of them well beyond the 2.7 V maximum voltage specified for the EDLC.

Figure 4 shows the corresponding curves with the relative change of capacity for up to 50,000 (50k) cycles.

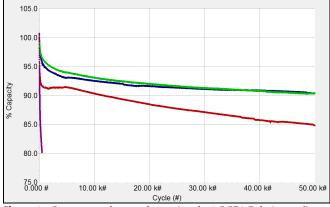


Figure 4 – Percentage change of capacity of a 3 F EDLC during cycling to different voltage limits. (•) 2.7 V, (•) 3.1 V, (•) 3.5 V, (•) 4.0 V. For details, see text.

The capacitors were charged and discharged with a current of ± 2.25 amps. The lower voltage limit was 1.35 V which is the half rated voltage of the EDLC. The upper voltage limits were set to 2.7 V, 3.1 V, 3.5 V, and 4.0 V.

Capacity fade is more pronounced on the samples charged to higher voltage limits. The capacity is reduced by only 10 % after 50k cycles at potentials below 3.0 V. The capacitor charged to 4.0 V lost 20 % of its capacity after 500 cycles.

The strong degradation of performance at higher potentials mainly occurs when Faradaic electrochemical reactions decompose the electrolyte. This can inhibit the electrode surface, lead to gas formation, damage the electrodes, and have other adverse effects.

Different charge and discharge currents

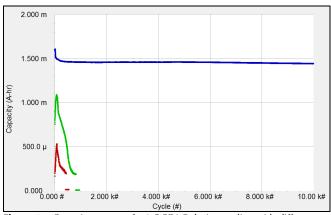
Cycle-life also depends on the applied current. To demonstrate the impact of higher currents on CCD experiments, current values significantly beyond the specifications of the capacitor were chosen. The 3 F capacitors used in this application note are specified for currents of 3.3 A.

For these experiments currents larger than 3 A were needed. These currents require the use of a Gamry Instruments *Reference 30k Booster*.

The **Reference 30k Booster** is an extension for the Reference 3000. Its compliance current is expanded to ± 30 amperes. It works with all applications for the Reference 3000, including the *Auxiliary Electrometer*.

For more information, visit Gamry's website: www.gamry.com

Three capacity plots with different charge and discharge currents are shown in Figure 5. The EDLCs were charged and discharged between 1.35 V and 3.5 V. The applied current was set to 2.25 A, 7.5 A, and 15 A.





The capacity curves at higher currents show a steep capacity decline with increasing cycle number. The two EDLCs that were cycled with 7.5 A and 15 A failed before reaching 400 and 800 cycles respectively.

Even on the first CCD cycle, higher currents lead to reduced capacity. Voltage is lost due to IR-drop (U_{Loss}) according to Equation 3:

$$U_{loss} = ESR \cdot I$$
 Eq.3

The IR-drop voltage is not useful in charging and discharging the capacitor. Both charge and discharge have their effective voltage range U_{eff} reduced by twice the IR-drop voltage.

Assuming 40 m Ω ESR for 3 F capacitors, we'd expect these parameters for different currents:

| / [A] | U _{Loss} [V] | U _{eff} [V] | Q [mAh] | P _{Loss} [W] |
|----------|--------------------------|-------------------------|------------|--------------------------|
| 2.25 | 0.09 | 1.97 | 1.6 | 0.2 |
| 7.5 | 0.3 | 1.55 | 1.3 | 2.3 |
| 15 | 0.6 | 0.95 | 0.8 | 9.0 |

Table 1 – Estimated IR-drop voltage, effective voltage range, capacity, and power loss for 3 F EDLCs with 40 m Ω ESR. For details, see text.

The IR-drop reduces capacity by about 19 % and 50 % respectively. Note the rough agreement between the initial capacities of the measurements with 7.5 A and 15 A in Figure 5 and Table 1.

The two capacitors cycled with 7.5 A and 15 A got quite hot before they failed.

The heat generated by rapid cycling is also due to IR-losses. Assuming a constant ESR, the power loss P_{Loss} in these devices can be estimated from Equation 4:

$$P_{Loss} = I^2 \cdot ESR \qquad \qquad \text{Eq 4}$$

Table 1 shows that power loss is estimated to be greater than 2 watts, even at 7.5 A. The small 3 F capacitors used for these tests cannot dissipate this much power without getting very hot. Heat can cause degradation of the electrolyte and dramatically reduce life-time.

The capacitor cycled at 15 A was so badly swollen at the end of the test that it was surprising it had not burst.

CCD on Stacks for Higher Voltages

Balanced stack

For high-power applications several energy storage devices are often combined in serial and parallel circuits. For serially connected capacitors Equations 5 and 6 apply:

$$\frac{1}{C} = \sum_{i=1}^{n} \frac{1}{C_i}$$
 Eq 5

$$U = \sum_{i=1}^{n} U_i$$
 Eq 6

The total capacity for n identical capacitors is the n^{th} fraction of the capacity of a single capacitor. The individual voltages of the capacitors are summed to give the total voltage of the stack.

Figure 6 shows a schematic diagram for a serially connected stack of capacitors.

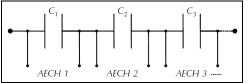


Figure 6 – Diagram of serially connected capacitors with Auxiliary Electrometer connections.

If all single cells in a stack show the same parameters the stack is called balanced. The stack is unbalanced if there are cells that differ in performance parameters like capacitance, ESR, or leakage resistance.

Gamry's **Auxiliary Electrometer** enables detailed investigation of single cells in a stack. Each individual channel (AECH 1, AECH 2, AECH 3, ...) measures the voltage across a cell.

The *Auxiliary Electrometer* is currently supported in PWR800, EIS300, and the DC and AC Toolkits.

For more information on the *Auxiliary Electrometer* option, visit Gamry's website: www.gamry.com

Capacity curves cannot show irregularities in stacks. All cells receive the same current so their capacities are identical. In the following sections, tests were done with small stacks containing three series-connected EDLCs. The stacks were deliberately unbalanced to show the impact of two common irregularities. To reveal these irregularities different plots were used.

Unbalanced stack with different capacitances

Using capacitors with different capacitances in a stack leads to fluctuations in voltage defined by Equation 7.

$$U_i = \frac{Q}{C_i}$$
 Eq 7

Applying a constant charge Q on a stack leads to a lower voltage U_i for single cells with higher capacitance C_i .

A serial stack made up of two 3 F EDLCs (C_1 , C_2) and one 5 F EDLC (C_3) (see also Figure 6) was used to test an unbalanced stack. All three capacitors were initially charged to 1.35 V before being added to the stack, so the initial stack voltage was close to 4 V.

The stack was cycled for 500 cycles with a current of ± 0.225 A. The test started with a charge step. The cycle limits were set to 4 V and 9.5 V. Voltage of the single cells was measured with three *Auxiliary Electrometer* channels.

Figure 7 shows one presentation of the data from this test. The limiting voltages of each channel for the charge (darker colored) and discharge step (lighter colored) versus cycle number are plotted.

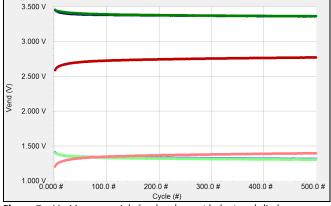


Figure 7 – Limiting potentials for the charge (darker) and discharge process (lighter) of an unbalanced stack with two 3 F EDLCs (\bullet C_1 , \bullet C_2) and one 5 F EDLC (\bullet C_3). For details, see text.

As expected, the final discharge voltage for each cell (regardless of capacitance) is close to 1.3 V. The small deviations from 1.3 V are probably due to leakage current imbalance, described later.

The final charge voltage is more interesting. If we had a balanced stack, the fully charged stack voltage of 9.5 V would be evenly divided among the cells so each cell would charge to about 3.16 V.

In the unbalanced stack the 3 F EDLCs (C_1 and C_2) charge to around 3.36 V. They are each overcharged by about 200 mV. The 5 F capacitor (C_3) is only charged to about 2.7 V. It is undercharged by 400 mV. Note the voltage imbalance is independent of the cycle number.

In a capacitor stack with unbalanced capacitor values, the capacitors with the highest capacitances have a lower effective voltage range. These deviations in voltage also lead to differences in energy.

Figure 8 shows the calculated energy of the charge step versus cycle number for the same measurement.

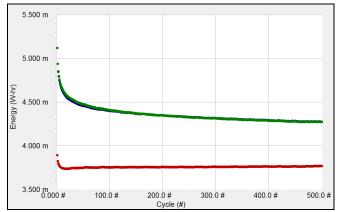


Figure 8 – Charge energy versus cycle number of single cells in an unbalanced stack with two 3 F EDLCs (\bullet C_1 , \bullet C_2) and one 5 F EDLC (\bullet C_3). For details, see text.

The energy of the 5 F EDLC is reduced due to lower voltage limits. The two 3 F EDLCs try to balance this voltage loss with higher voltages. Their energy content increased.

In extreme cases, the voltage (and energy) increase can be large enough to damage the capacitors.

Unbalanced stack with different leakage resistances

Leakage resistance affects both stack performance and cycle-life. It can change as a capacitor ages. Low leakage resistances lead to higher leakage currents which discharge the cell without external current applied.

Leakage resistance can be modeled as a resistor parallel to a capacitor (see Figure 9).

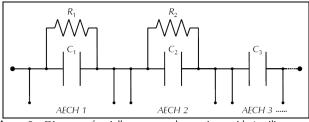


Figure 9 – Diagram of serially connected capacitors with Auxiliary Electrometer connections. Parallel resistors R_1 and R_2 simulate different leakage resistances.

Figure 10 shows the self-discharge due to leakage current. Two resistors ($R_1 = 16.5 \text{ k}\Omega$, $R_2 = 154 \text{ k}\Omega$) were installed parallel to C_1 and C_2 . The intrinsic leakage resistance for C_3 is in the M Ω -range. All three capacitors had a nominal capacitance of 3 F.

The stack was charged to 8.1 V using a charge current of 0.225 A. After charging to 8.1 V the voltage was recorded in currentless state for 6 hours.

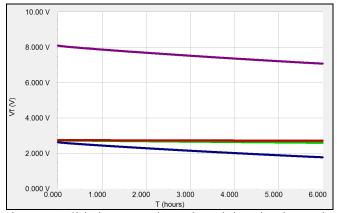


Figure 10 – Self-discharge over 6 hours of an unbalanced stack (\bullet) and its single cells ($\bullet C_1$, $\bullet C_2$, $\bullet C_3$) with different leakage resistances. For details, see text.

Internal leakage current leads to a continuous voltage drift that discharges the cell. Capacitor C_1 with the lowest leakage resistance has the highest leakage current. It causes the highest loss in voltage (about 850 mV). In comparison, the total voltage loss of the stack is about 1 V after 6 hours.

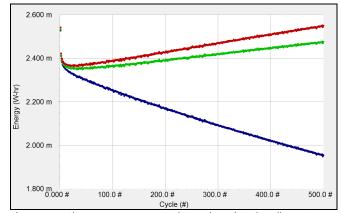
The calculated leakage current for C_1 is 47 μ A whereas the other two capacitors exhibit values of only 7 μ A (C_2) and 2 μ A (C_3).

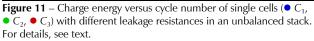
This measurement was done with a special self-discharge script that was added to the PWR800 software (Revision 5.61 and newer) and is named:

PWR Self-Discharge.exp

Higher leakage currents also lead to increased loss in energy and power. Figure 11 shows the behavior of energy during cycling.

The prior stack setup was cycled for 500 cycles between 4 V and 8.1 V with a current of ± 0.225 A.





Higher leakage currents cause continuous energy fade during cycling. The energy of C_1 decreases continuously due to higher self-discharge. Note this is in contrast to Figure 7 and Figure 8 where voltage and energy imbalances were independent of the cycle number.

Capacitors C_2 and C_3 compensate for this loss and overcharge to higher voltages. Energy increases but this may be at the cost of lower electrochemical stability and decreased cycle-life.

Conclusion

This application note described Gamry's PWR800 CCD software by tests on single 3 F EDLCs and small stacks.

Impacts of different setup parameters on performance of EDLCs were shown and the influence of common irregularities in stacks was described.

The combination of single-cell investigation and recording of multiple parameters enables accurate evaluation of irregularities in stacks.

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